

Table #9 - Waiver Year 6 Expenditures

Medicaid Eligibility Group (MEG)	Program Expenditures	Administrative Expenditures
Admin		191,690,200
MEG01 - TANF & Related	\$ 1,505,186,892	\$ -
MEG02 - SSI & Related - Medicaid Only	\$ 807,692,756	\$ -
MEG03 - SSI & Related - Dual Eligible	\$ 547,571,351	\$ -
MEG04 - "217 Like" Medicaid Only	\$ 11,346,544	\$ -
MEG05 - "217 Like" Dual Eligible	\$ 143,055,030	\$ -
MEG06 - VIII Group - Medicaid Expansion	\$ 1,534,558,754	\$ -
MEG07 - CHIP	\$ 102,331,540	\$ -
Uncompensated Care "UC" Pool	\$ 51,666,988	N/A
Hospital Quality Improvement Incentive "HQII" Pool	\$ -	N/A
Grand Total	\$ 4,703,409,855	\$ 191,690,200

Source: New Mexico CMS 64 Submission, FFY 2020 Quarter 1, February 7, 2020.

ATTACHMENT A

New Mexico Budget Neutrality Monitoring Spreadsheet

- PMPM Analysis

DY 6

Start Date: 01/01/2019

End Date: 12/31/2019

Quarter 4

Start Date: 7/1/2019

End Date: 9/30/2019

Table 3 - PMPM Summary by Demonstration Year and MEG

MEG01 TANF & Related	DY 01 Cost Estimates	DY1 YTD - Actuals ²	DY 02 Cost Estimates	DY2 YTD - Actuals ²	DY 03 Cost Estimates	DY3 YTD - Actuals ²	DY 04 Cost Estimates	DY4 YTD - Actuals ²	DY 05 Cost Estimates	DY5 YTD - Actuals ²	DY 06 Cost Estimates	DY6 YTD - Actuals ²
MMs ¹	4,727,584	4,517,149	4,861,847	4,454,290	5,020,343	4,621,656	5,092,636	4,623,475	5,132,359	4,422,938	4,974,487	4,310,136
PMPM	\$ 385.80	\$ 329.14	\$ 400.77	\$ 344.32	\$ 416.32	\$ 335.31	\$ 432.47	\$ 345.56	\$ 449.25	\$ 345.22	\$ 344.61	\$ 349.22
Dollars	\$ 1,823,911,159	\$ 1,486,761,939	\$ 1,948,487,793	\$ 1,533,693,189	\$ 2,090,074,424	\$ 1,549,703,587	\$ 2,202,434,150	\$ 1,597,692,063	\$ 2,305,734,126	\$ 1,526,907,825	\$ 1,714,233,973	\$ 1,505,186,892
MEG02 SSI & Related - Medicaid Only	DY 01 Cost Estimates	DY1 YTD - Actuals	DY 02 Cost Estimates	DY2 YTD - Actuals ²	DY 03 Cost Estimates	DY3 YTD - Actuals ²	DY 04 Cost Estimates	DY4 YTD - Actuals ²	DY 05 Cost Estimates	DY5 YTD - Actuals ²	DY 06 Cost Estimates	DY6 YTD - Actuals ²
MMs ¹	508,700	497,958	513,736	494,529	518,976	493,577	524,737	487,798	530,824	459,830	499,659	449,898
PMPM	\$ 1,763.90	\$ 1,656.72	\$ 1,842.83	\$ 1,785.40	\$ 1,925.21	\$ 1,756.54	\$ 2,008.00	\$ 1,734.39	\$ 2,094.34	\$ 1,728.12	\$ 1,881.37	\$ 1,795.28
Dollars	\$ 897,298,062	\$ 824,975,897	\$ 946,727,393	\$ 882,934,443	\$ 999,138,707	\$ 866,988,072	\$ 1,053,669,000	\$ 846,031,263	\$ 1,111,724,897	\$ 794,639,649	\$ 940,044,519	\$ 807,692,756
MEG03 SSI & Related - Dual Eligible	DY 01 Cost Estimates	DY1 YTD - Actuals	DY 02 Cost Estimates	DY2 YTD - Actuals ²	DY 03 Cost Estimates	DY3 YTD - Actuals ²	DY 04 Cost Estimates	DY4 YTD - Actuals ²	DY 05 Cost Estimates	DY5 YTD - Actuals ²	DY 06 Cost Estimates	DY6 YTD - Actuals ²
MMs ¹	373,823	428,025	380,215	435,140	386,831	447,801	393,832	443,071	401,197	432,715	467,635	430,287
PMPM	\$ 1,780.77	\$ 1,333.20	\$ 1,857.34	\$ 1,342.71	\$ 1,937.21	\$ 1,361.14	\$ 2,020.51	\$ 1,273.61	\$ 2,107.39	\$ 1,289.65	\$ 1,374.39	\$ 1,272.57
Dollars	\$ 665,692,378	\$ 570,643,867	\$ 706,189,973	\$ 584,267,888	\$ 749,372,219	\$ 609,519,680	\$ 795,742,098	\$ 564,301,428	\$ 845,479,241	\$ 558,052,509	\$ 642,711,733	\$ 547,571,351
MEG04 "217 Like" Medicaid Only	DY 01 Cost Estimates	DY1 YTD - Actuals	DY 02 Cost Estimates	DY2 YTD - Actuals ²	DY 03 Cost Estimates	DY3 YTD - Actuals ²	DY 04 Cost Estimates	DY4 YTD - Actuals ²	DY 05 Cost Estimates	DY5 YTD - Actuals ²	DY 06 Cost Estimates	DY6 YTD - Actuals ²
MMs ¹	5,841	2,799	5,898	2,382	5,959	2,987	6,025	3,797	6,095	3,307	4,087	3,277
PMPM	\$ 4,936.92	\$ 2,380.16	\$ 5,090.46	\$ 2,347.27	\$ 5,248.77	\$ 2,537.88	\$ 5,412.01	\$ 3,295.32	\$ 5,580.32	\$ 3,649.36	\$ 5,747.30	\$ 3,462.48
Dollars	\$ 28,834,295	\$ 6,662,064	\$ 30,025,379	\$ 5,591,208	\$ 31,274,952	\$ 7,580,640	\$ 32,605,551	\$ 12,512,314	\$ 34,009,571	\$ 12,068,428	\$ 23,490,632	\$ 11,346,544
MEG05 "217 Like" Dual Eligible	DY 01 Cost Estimates	DY1 YTD - Actuals	DY 02 Cost Estimates	DY2 YTD - Actuals ²	DY 03 Cost Estimates	DY3 YTD - Actuals ²	DY 04 Cost Estimates	DY4 YTD - Actuals ²	DY 05 Cost Estimates	DY5 YTD - Actuals ²	DY 06 Cost Estimates	DY6 YTD - Actuals ²
MMs ¹	27,935	26,895	28,413	27,063	28,907	31,866	29,430	40,409	29,981	47,438	43,493	50,271
PMPM	\$ 1,776.90	\$ 3,226.87	\$ 1,853.31	\$ 3,143.68	\$ 1,933.00	\$ 2,884.00	\$ 2,016.12	\$ 2,789.99	\$ 2,102.81	\$ 2,839.48	\$ 3,661.18	\$ 2,845.68
Dollars	\$ 49,637,569	\$ 86,786,741	\$ 52,657,285	\$ 85,077,407	\$ 55,877,183	\$ 91,901,521	\$ 59,334,769	\$ 112,740,550	\$ 63,043,435	\$ 134,699,129	\$ 159,236,444	\$ 143,055,030
MEG06 VIII Group - Medicaid Expansion	DY 01 Cost Estimates	DY1 YTD - Actuals	DY 02 Cost Estimates	DY2 YTD - Actuals ²	DY 03 Cost Estimates	DY3 YTD - Actuals ²	DY 04 Cost Estimates	DY4 YTD - Actuals ²	DY 05 Cost Estimates	DY5 YTD - Actuals ²	DY 06 Cost Estimates	DY6 YTD - Actuals ²
MMs ¹	1,632,968	1,887,728	1,788,895	2,748,632	1,800,808	3,078,074	1,763,748	3,143,890	1,773,299	3,019,164	3,299,404	3,071,014
PMPM	\$ 577.87	\$ 453.48	\$ 607.34	\$ 476.42	\$ 638.31	\$ 442.85	\$ 670.87	\$ 450.53	\$ 705.08	\$ 483.27	\$ 738.22	\$ 499.69
Dollars	\$ 943,638,928	\$ 856,051,816	\$ 1,086,464,733	\$ 1,309,509,519	\$ 1,149,478,718	\$ 1,363,115,990	\$ 1,183,239,734	\$ 1,416,407,937	\$ 1,250,319,546	\$ 1,459,063,915	\$ 2,435,685,299	\$ 1,534,558,754
MEG08 Uncompensated Care Pool	DY 01 Cost Estimates	DY1 YTD - Actuals	DY 02 Cost Estimates	DY2 YTD - Actuals	DY 03 Cost Estimates	DY3 YTD - Actuals ²	DY 04 Cost Estimates	DY4 YTD - Actuals ²	DY 05 Cost Estimates	DY5 YTD - Actuals ²	DY 06 Cost Estimates	DY6 YTD - Actuals ²
Total Allotment	\$ 68,889,323	\$ 68,889,323	\$ 68,889,323	\$ 67,294,973	\$ 68,889,323	\$ 68,889,323	\$ 68,889,323	\$ 68,889,323	\$ 68,889,323	\$ 68,889,323	\$ 68,889,323	\$ 51,666,988
MEG09 Hospital Quality Improvement Incentive Pool	DY 01 Cost Estimates	DY1 YTD - Actuals	DY 02 Cost Estimates	DY2 YTD - Actuals	DY 03 Cost Estimates	DY3 YTD - Actuals ²	DY 04 Cost Estimates	DY4 YTD - Actuals ²	DY 05 Cost Estimates	DY5 YTD - Actuals ²	DY 06 Cost Estimates	DY6 YTD - Actuals ²
Total Allotment	\$ -	\$ -	\$ 2,824,462	\$ 2,824,462	\$ 5,764,727	\$ 7,359,077	\$ 8,825,544	\$ 8,825,541	\$ 12,011,853	\$ 12,011,853	\$ 12,000,000	\$ -

Notes:
1.) Actual member months for Demonstration Year 4 include the reported member months for this Centennial Care Quarterly Report, Section XIV and Section IX.

2.) Expenditures as reported on the CMS-64 Schedule C, FFY2020 Quarter 1. Report pulled on 02/07/2020.

ATTACHMENT A

New Mexico Budget Neutrality Monitoring Spreadsheet

- Budget Neutrality Limit Analysis

DY 1

Start Date: 01/01/2014

End Date: 12/31/2014

Table 1.1: Budget Neutrality Limit DY 1 (Special Terms and Conditions (STC) 106)

	DY 1 - PMPM	DY 1 - Actual Reported Member Months ¹	Total Expenditure Budget Neutrality Limit [DY 1 - PMPM X Actual Member Months]	Composite FFP ²	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
MEG							
MEG01 - TANF & Related	\$ 385.80	4,517,149	\$ 1,742,724,978	70.77%	\$ 1,233,317,043	\$ 1,486,761,939	\$ 1,070,403,838
MEG02 - SSI & Related - Medicaid Only	\$ 1,763.90	497,958	\$ 878,350,269	70.77%	\$ 621,603,736	\$ 824,975,897	\$ 574,937,547
MEG03 - SSI & Related - Dual Eligible	\$ 1,780.77	428,025	\$ 762,214,336	70.77%	\$ 539,414,964	\$ 570,643,867	\$ 395,585,750
MEG08 Uncompensated Care Pool	NA	NA	\$ 68,889,323	70.77%	\$ 48,752,601	\$ 68,889,323	\$ 47,671,412
MEG09 HQII	NA	NA	\$ -	70.77%	\$ -	\$ -	\$ -
Grand Total			\$ 3,452,178,905		\$ 2,443,088,345	\$ 2,951,271,026	\$ 2,088,598,547

Table 1.2: Supplemental Budget Neutrality Test 1: Hypothetical Groups (STC 107)

	DY 1 - PMPM	DY 1 - Actual Reported Member Months ¹	Total Expenditure Budget Neutrality Limit [DY 1 - PMPM X Actual Member Months]	Composite FFP ²	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
MEG							
MEG 04 - "217 Like" Medicaid Only	\$ 4,936.92	2,799	\$ 13,818,444	69.31%	\$ 9,577,968	\$ 6,662,064	\$ 4,617,656
MEG 05 - "217 Like" Dual Eligible	\$ 1,776.90	26,895	\$ 47,789,749	69.31%	\$ 33,124,475	\$ 86,786,741	\$ 60,154,448
Grand Total			\$ 61,608,193		\$ 42,702,443	\$ 93,448,805	\$ 64,772,104

Table 1.3: Supplemental Budget Neutrality Test 2: VIII Group (STC 108)

	DY 1 - PMPM	DY 1 - Actual Reported Member Months ¹	Total Expenditure Budget Neutrality Limit [DY 1 - PMPM X Actual Member Months]	Composite FFP ²	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
MEG							
MEG 06 - VIII Group - Medicaid Expansion	\$ 577.87	1,887,728	\$ 1,090,856,222	100.00%	\$ 1,090,823,562	\$ 856,051,816	\$ 856,026,186
Grand Total			\$ 1,090,856,222		\$ 1,090,823,562	\$ 856,051,816	\$ 856,026,186

Table 1.4: DY 1 Assessment of Budget Neutrality (STC 102, 104, 111)

Federal Share (Title XIX) Budget Neutrality Limit	\$ 2,443,088,345
Federal Share (Title XIX) Actual Reported	\$ 2,088,598,547
Excess Spending - Test 1	\$ 22,069,661
Excess Spending - Test 2	\$ -
Total Actuals	\$ 2,110,668,208
Difference (Actuals - Limit)	\$ (332,420,137)
Percentage Difference	-13.6%

Notes:

- 1.) Member months as of November 3, 2015.
- 2.) As defined in STC 109 - Composite Federal Share Rate is calculated based on CMS-64, Schedule C, FFY2020 Quarter 1 submission.
- 3.) Expenditures as reported on the CMS-64 Schedule C, FFY2020 Quarter 1. Report pulled on 02/07/2020.

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New Mexico Budget Neutrality Monitoring Spreadsheet

- Budget Neutrality Limit Analysis

DY 2

Start Date: 01/01/2015

End Date: 12/31/2015

Table 2.1: Budget Neutrality Limit DY 2 (Special Terms and Conditions (STC) 106)

MEG	DY 2 - PMPM	DY 2 - Actual Reported Member Months ¹	Total Expenditure Budget Neutrality Limit [DY 2 - PMPM X Actual Member Months]	Composite FFP ²	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
MEG01 - TANF & Related	\$ 400.77	4,454,290	\$ 1,785,150,637	71.40%	\$ 1,274,541,601	\$ 1,533,693,189	\$ 1,116,192,521
MEG02 - SSI & Related - Medicaid Only	\$ 1,842.83	494,529	\$ 911,332,877	71.40%	\$ 650,663,109	\$ 882,934,443	\$ 619,376,448
MEG03 - SSI & Related - Dual Eligible	\$ 1,857.34	435,140	\$ 808,202,928	71.40%	\$ 577,031,558	\$ 584,267,888	\$ 408,062,785
MEG08 Uncompensated Care Pool	NA	NA	\$ 68,889,323	71.40%	\$ 49,184,817	\$ 67,294,973	\$ 46,989,091
MEG09 HQII	NA	NA	\$ 2,824,462	71.40%	\$ 2,016,577	\$ 2,824,462	\$ 1,987,574
Grand Total			\$ 3,576,400,227		\$ 2,553,437,662	\$ 3,071,014,955	\$ 2,192,608,419

Table 2.2: Supplemental Budget Neutrality Test 1: Hypothetical Groups (STC 107)

MEG	DY 2 - PMPM	DY 2 - Actual Reported Member Months ¹	Total Expenditure Budget Neutrality Limit [DY 2 - PMPM X Actual Member Months]	Composite FFP ²	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
MEG 04 - "217 Like" Medicaid Only	\$ 5,090.46	2,382	\$ 12,125,476	69.84%	\$ 8,468,468	\$ 5,591,208	\$ 3,906,915
MEG 05 - "217 Like" Dual Eligible	\$ 1,853.31	27,063	\$ 50,156,129	69.84%	\$ 35,029,186	\$ 85,077,407	\$ 59,416,310
Grand Total			\$ 62,281,604		\$ 43,497,654	\$ 90,668,615	\$ 63,323,225

Table 2.3: Supplemental Budget Neutrality Test 2: VIII Group (STC 108)

MEG	DY 2 - PMPM	DY 2 - Actual Reported Member Months ¹	Total Expenditure Budget Neutrality Limit [DY 2 - PMPM X Actual Member Months]	Composite FFP ²	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
MEG 06 - VIII Group - Medicaid Expansion	\$ 607.34	2,748,632	\$ 1,669,354,159	100.00%	\$ 1,669,275,946	\$ 1,309,509,519	\$ 1,309,448,166
Grand Total			\$ 1,669,354,159		\$ 1,669,275,946	\$ 1,309,509,519	\$ 1,309,448,166

Table 2.4: DY 2 Assessment of Budget Neutrality (STC 102, 104, 111)

Federal Share (Title XIX) Budget Neutrality Limit	\$ 2,553,437,662
Federal Share (Title XIX) Actual Reported	\$ 2,192,608,419
Excess Spending - Test 1	\$ 19,825,571
Excess Spending - Test 2	\$ -
Total Actuals	\$ 2,212,433,990
Difference (Actuals - Limit)	\$ (341,003,672)
Percentage Difference	-13.4%

Notes:

- 1.) Member months as of November 10, 2016.
- 2.) As defined in STC 109 - Composite Federal Share Rate is calculated based on CMS-64, Schedule C, FFY2020 Quarter 1 submission.
- 3.) Expenditures as reported on the CMS-64 Schedule C, FFY2020 Quarter 1. Report pulled on 02/07/2020.

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New Mexico Budget Neutrality Monitoring Spreadsheet

- Budget Neutrality Limit Analysis

DY 3

Start Date: 01/01/2016

End Date: 12/31/2016

Table 3.1: Budget Neutrality Limit DY 3 (Special Terms and Conditions (STC) 106)

	DY 3 - PMPM	DY 3 - Actual Reported Member Months ¹	Total Expenditure Budget Neutrality Limit [DY 3 - PMPM X Actual Member Months]	Composite FFP ²	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
MEG							
MEG01 - TANF & Related	\$ 416.32	4,621,656	\$ 1,924,092,463	72.14%	\$ 1,388,132,024	\$ 1,549,703,587	\$ 1,139,902,199
MEG02 - SSI & Related - Medicaid Only	\$ 1,925.21	493,577	\$ 950,239,887	72.14%	\$ 685,548,352	\$ 866,988,072	\$ 614,391,705
MEG03 - SSI & Related - Dual Eligible	\$ 1,937.21	447,801	\$ 867,484,358	72.14%	\$ 625,844,569	\$ 609,519,680	\$ 430,125,628
MEG08 Uncompensated Care Pool	NA	NA	\$ 68,889,323	72.14%	\$ 49,700,042	\$ 68,889,323	\$ 48,608,306
MEG09 HQII	NA	NA	\$ 5,764,727	72.14%	\$ 4,158,949	\$ 7,359,077	\$ 5,234,511
Grand Total			\$ 3,816,470,759		\$ 2,753,383,935	\$ 3,102,459,739	\$ 2,238,262,349

Table 3.2: Supplemental Budget Neutrality Test 1: Hypothetical Groups (STC 107)

	DY 3 - PMPM	DY 3 - Actual Reported Member Months ¹	Total Expenditure Budget Neutrality Limit [DY 3 - PMPM X Actual Member Months]	Composite FFP ²	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
MEG							
MEG 04 - "217 Like" Medicaid Only	\$ 5,248.77	2,987	\$ 15,678,086	70.59%	\$ 11,066,436	\$ 7,580,640	\$ 5,353,671
MEG 05 - "217 Like" Dual Eligible	\$ 1,933.00	31,866	\$ 61,596,973	70.59%	\$ 43,478,457	\$ 91,901,521	\$ 64,866,189
Grand Total			\$ 77,275,059		\$ 54,544,893	\$ 99,482,161	\$ 70,219,860

Table 3.3: Supplemental Budget Neutrality Test 2: VIII Group (STC 108)

	DY 3 - PMPM	DY 3 - Actual Reported Member Months ¹	Total Expenditure Budget Neutrality Limit [DY 3 - PMPM X Actual Member Months]	Composite FFP ²	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
MEG							
MEG 06 - VIII Group - Medicaid Expansion	\$ 638.31	3,078,074	\$ 1,964,773,916	99.93%	\$ 1,963,464,514	\$ 1,363,115,990	\$ 1,362,207,556
Grand Total			\$ 1,964,773,916		\$ 1,963,464,514	\$ 1,363,115,990	\$ 1,362,207,556

Table 3.4: DY 3 Assessment of Budget Neutrality (STC 102, 104, 111)

Federal Share (Title XIX) Budget Neutrality Limit	\$ 2,753,383,935
Federal Share (Title XIX) Actual Reported	\$ 2,238,262,349
Excess Spending - Test 1	\$ 15,674,967
Excess Spending - Test 2	\$ -
Total Actuals	\$ 2,253,937,316
Difference (Actuals - Limit)	\$ (499,446,619)
Percentage Difference	-18.1%

Notes:

- 1.) Member months as of October 3, 2017.
- 2.) As defined in STC 109 - Composite Federal Share Rate is calculated based on CMS-64, Schedule C, FFY2020 Quarter 1 submission.
- 3.) Expenditures as reported on the CMS-64 Schedule C, FFY2020 Quarter 1. Report pulled on 02/07/2019.

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New Mexico Budget Neutrality Monitoring Spreadsheet

- Budget Neutrality Limit Analysis

DY 4

Start Date: 01/01/2017

End Date: 12/31/2017

Table 4.1: Budget Neutrality Limit DY 4 (Special Terms and Conditions (STC) 106)

	DY 4 - PMPM	DY 4 - Actual Reported Member Months ¹	Total Expenditure Budget Neutrality Limit [DY 4 - PMPM X Actual Member Months]	Composite FFP ²	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
MEG							
MEG01 - TANF & Related	\$ 432.47	4,623,475	\$ 1,999,533,921	73.11%	\$ 1,461,785,646	\$ 1,597,692,063	\$ 1,190,905,471
MEG02 - SSI & Related - Medicaid Only	\$ 2,008.00	487,798	\$ 979,495,999	73.11%	\$ 716,073,469	\$ 846,031,263	\$ 606,541,717
MEG03 - SSI & Related - Dual Eligible	\$ 2,020.51	443,071	\$ 895,229,176	73.11%	\$ 654,469,097	\$ 564,301,428	\$ 402,876,336
MEG08 Uncompensated Care Pool	NA	NA	\$ 68,889,323	73.11%	\$ 50,362,448	\$ 68,889,323	\$ 49,178,612
MEG09 HQII	NA	NA	\$ 8,825,544	73.11%	\$ 6,452,030	\$ 8,825,541	\$ 6,368,511
Grand Total			\$ 3,951,973,963		\$ 2,889,142,690	\$ 3,085,739,618	\$ 2,255,870,647

Table 4.2: Supplemental Budget Neutrality Test 1: Hypothetical Groups (STC 107)

	DY 4 - PMPM	DY 4 - Actual Reported Member Months ¹	Total Expenditure Budget Neutrality Limit [DY 4 - PMPM X Actual Member Months]	Composite FFP ²	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
MEG							
MEG 04 - "217 Like" Medicaid Only	\$ 5,412.01	3,797	\$ 20,549,402	71.42%	\$ 14,675,372	\$ 12,512,314	\$ 8,934,265
MEG 05 - "217 Like" Dual Eligible	\$ 2,016.12	40,409	\$ 81,469,347	71.42%	\$ 58,181,400	\$ 112,740,550	\$ 80,515,170
Grand Total			\$ 102,018,749		\$ 72,856,773	\$ 125,252,864	\$ 89,449,435

Table 4.3: Supplemental Budget Neutrality Test 2: VIII Group (STC 108)

	DY 4 - PMPM	DY 4 - Actual Reported Member Months ¹	Total Expenditure Budget Neutrality Limit [DY 4 - PMPM X Actual Member Months]	Composite FFP ²	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
MEG							
MEG 06 - VIII Group - Medicaid Expansion	\$ 670.87	3,143,890	\$ 2,109,131,150	95.16%	\$ 2,007,002,789	\$ 1,416,407,937	\$ 1,347,822,624
Grand Total			\$ 2,109,131,150		\$ 2,007,002,789	\$ 1,416,407,937	\$ 1,347,822,624

Table 4.4: DY 4 Assessment of Budget Neutrality (STC 102, 104, 111)

Federal Share (Title XIX) Budget Neutrality Limit	\$ 2,889,142,690
Federal Share (Title XIX) Actual Reported	\$ 2,255,870,647
Excess Spending - Test 1	\$ 16,592,662
Excess Spending - Test 2	\$ -
Total Actuals	\$ 2,272,463,309
Difference (Actuals - Limit)	\$ (616,679,381)
Percentage Difference	-21.3%

Notes:

- 1.) Member months as of October 4, 2018.
- 2.) As defined in STC 109 - Composite Federal Share Rate is calculated based on CMS-64, Schedule C, FFY202 Quarter 1 submission.
- 3.) Expenditures as reported on the CMS-64 Schedule C, FFY2020 Quarter 1. Report pulled on 02/07/2020.

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New Mexico Budget Neutrality Monitoring Spreadsheet

- Budget Neutrality Limit Analysis

DY 5

Start Date: 01/01/2018

End Date: 12/31/2018

Table 5.1: Budget Neutrality Limit DY 5 (Special Terms and Conditions (STC) 106)

	DY 5 - PMPM	DY 5 - Actual Reported Member Months ¹	Total Expenditure Budget Neutrality Limit [DY 5 - PMPM X Actual Member Months]	Composite FFP ²	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
MEG							
MEG01 - TANF & Related	\$ 449.25	4,422,938	\$ 1,987,023,736	73.61%	\$ 1,462,738,496	\$ 1,526,907,825	\$ 1,141,789,624
MEG02 - SSI & Related - Medicaid Only	\$ 2,094.34	459,830	\$ 963,039,856	73.61%	\$ 708,937,415	\$ 794,639,649	\$ 576,263,466
MEG03 - SSI & Related - Dual Eligible	\$ 2,107.39	432,715	\$ 911,899,885	73.61%	\$ 671,290,957	\$ 558,052,509	\$ 402,898,865
MEG08 Uncompensated Care Pool	NA	NA	\$ 68,889,323	73.61%	\$ 50,712,562	\$ 68,889,323	\$ 49,727,759
MEG09 HQII	NA	NA	\$ 12,011,853	73.61%	\$ 8,842,471	\$ 12,011,853	\$ 8,679,765
Grand Total			\$ 3,942,864,653		\$ 2,902,521,902	\$ 2,960,501,159	\$ 2,179,359,479

Table 5.2: Supplemental Budget Neutrality Test 1: Hypothetical Groups (STC 107)

	DY 5 - PMPM	DY 5 - Actual Reported Member Months ¹	Total Expenditure Budget Neutrality Limit [DY 5 - PMPM X Actual Member Months]	Composite FFP ²	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
MEG							
MEG 04 - "217 Like" Medicaid Only	\$ 5,580.32	3,307	\$ 18,454,130	72.19%	\$ 13,322,725	\$ 12,068,428	\$ 8,714,533
MEG 05 - "217 Like" Dual Eligible	\$ 2,102.81	47,438	\$ 99,753,194	72.19%	\$ 72,015,549	\$ 134,699,129	\$ 97,242,438
Grand Total			\$ 118,207,324		\$ 85,338,274	\$ 146,767,557	\$ 105,956,971

Table 5.3: Supplemental Budget Neutrality Test 2: VIII Group (STC 108)

	DY 5 - PMPM	DY 5 - Actual Reported Member Months ¹	Total Expenditure Budget Neutrality Limit [DY 5 - PMPM X Actual Member Months]	Composite FFP ²	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
MEG							
MEG 06 - VIII Group - Medicaid Expansion	\$ 705.08	3,019,164	\$ 2,128,754,916	94.22%	\$ 2,005,780,074	\$ 1,459,063,915	\$ 1,374,776,075
Grand Total			\$ 2,128,754,916		\$ 2,005,780,074	\$ 1,459,063,915	\$ 1,374,776,075

Table 5.4: DY 5 Assessment of Budget Neutrality (STC 102, 104, 111)

Federal Share (Title XIX) Budget Neutrality Limit	\$ 2,902,521,902
Federal Share (Title XIX) Actual Reported	\$ 2,179,359,479
Excess Spending - Test 1	\$ 20,618,697
Excess Spending - Test 2	\$ -
Total Actuals	\$ 2,199,978,176
Difference (Actuals - Limit)	\$ (702,543,726)
Percentage Difference	-24.2%

Notes:

- 1.) Member months as of October 3, 2019.
- 2.) As defined in STC 109 - Composite Federal Share Rate is calculated based on CMS-64, Schedule C, FFY2020 Quarter 1 submission.
- 3.) Expenditures as reported on the CMS-64 Schedule C, FFY2020 Quarter 1. Report pulled on 02/07/2020.

ATTACHMENT A

**New Mexico Budget Neutrality Monitoring Spreadsheet
- Budget Neutrality Limit Analysis**

DY 6

Start Date: 01/01/2019

End Date: 12/31/2019

Table 6.1: Budget Neutrality Limit DY 5 (Special Terms and Conditions (STC) 97)

	DY 6 - PMPM	DY 6 - Actual Reported Member Months ¹	Total Expenditure Budget Neutrality Limit [DY 6 - PMPM X Actual Member Months]	Composite FFP ²	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
MEG							
MEG01 - TANF & Related	\$ 344.61	4,310,136	\$ 1,485,295,232	73.07%	\$ 1,085,239,768	\$ 1,505,186,892	\$ 1,107,236,490
MEG02 - SSI & Related - Medicaid Only	\$ 1,881.37	449,898	\$ 846,425,848	73.07%	\$ 618,446,065	\$ 807,692,756	\$ 586,848,980
MEG03 - SSI & Related - Dual Eligible	\$ 1,374.39	430,287	\$ 591,381,464	73.07%	\$ 432,096,373	\$ 547,571,351	\$ 396,296,920
MEG08 Uncompensated Care Pool	NA	NA	\$ 68,889,323	73.07%	\$ 50,334,392	\$ 51,666,988	\$ 37,373,885
MEG09 HQII	NA	NA	\$ 12,011,853	73.07%	\$ 8,776,532	\$ -	\$ -
Grand Total			\$ 3,004,003,719		\$ 2,194,893,130	\$ 2,912,117,987	\$ 2,127,756,275

Table 6.2: Supplemental Budget Neutrality Test 1: Hypothetical Groups (STC 99)

	DY 6 - PMPM	DY 6 - Actual Reported Member Months ¹	Total Expenditure Budget Neutrality Limit [DY 6 - PMPM X Actual Member Months]	Composite FFP ²	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
MEG							
MEG 04 - "217 Like" Medicaid Only	\$ 5,747.30	3,277	\$ 18,833,891	72.38%	\$ 13,631,802	\$ 11,346,544	\$ 8,213,167
MEG 05 - "217 Like" Dual Eligible	\$ 3,661.18	50,271	\$ 184,051,425	72.38%	\$ 133,214,780	\$ 143,055,030	\$ 103,541,315
Grand Total			\$ 202,885,316		\$ 146,846,582	\$ 154,401,574	\$ 111,754,482

Table 6.3: Supplemental Budget Neutrality Test 2: VIII Group (STC 100)

	DY 6 - PMPM	DY 6 - Actual Reported Member Months ¹	Total Expenditure Budget Neutrality Limit [DY 6 - PMPM X Actual Member Months]	Composite FFP ²	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
MEG							
MEG 06 - VIII Group - Medicaid Expansion	\$ 738.22	3,071,014	\$ 2,267,083,089	93.25%	\$ 2,113,999,251	\$ 1,534,558,754	\$ 1,430,938,315
Grand Total			\$ 2,267,083,089		\$ 2,113,999,251	\$ 1,534,558,754	\$ 1,430,938,315

Table 6.4: Supplemental Budget Neutrality Test 3: SUD/IMD (STC 101)

	DY 6 - PMPM	DY 6 - Actual Reported Member Months ¹	Total Expenditure Budget Neutrality Limit [DY 6 - PMPM X Actual Member Months]	Composite FFP ²	Federal Share (Title XIX) Budget Neutrality Limit	Actual Reported Expenditures	Federal Share (Title XIX) Actual Reported
MEG							
MEG 06 - VIII Group - Medicaid Expansion	\$ -	51,666,988	\$ -	0.00%	\$ -	DY6	
Grand Total			\$ -		\$ -	\$ -	\$ -

Table 6.5: DY 6 Assessment of Budget Neutrality (STC 93, 96, 105)

Federal Share (Title XIX) Budget Neutrality Limit	\$ 2,194,893,130
Federal Share (Title XIX) Actual Reported	\$ 2,127,756,275
Excess Spending - Test 1	\$ -
Excess Spending - Test 2	\$ -
Excess Spending - Test 3	\$ -
Total Actuals	\$ 2,127,756,275
Difference (Actuals - Limit)	\$ (67,136,855)
Percentage Difference	-3.1%

Notes:

- 1.) Member months as of January 02, 2020.
- 2.) As defined in STC 102 - Composite Federal Share Rate is calculated based on CMS-64, Schedule C, FFY2020 Quarter 1 submission.
- 3.) Expenditures as reported on the CMS-64 Schedule C, FFY2020 Quarter 1. Report pulled on 02/07/2020.

MAP Waivers

Waiver Name	A	01	02	03	04
MEG1-TANF & Related	0	1,486,761,939	1,533,693,189	1,549,703,587	1,597,692,063
MEG2- SSI Medicaid Only	0	824,975,897	882,934,443	866,988,072	846,031,263
MEG3- SSI DUAL	0	570,643,867	584,267,888	609,519,680	564,301,428
MEG4-217	0	6,662,064	5,591,208	7,580,640	12,512,314
MEG5- 217 DUAL	0	86,786,741	85,077,407	91,901,521	112,740,550
MEG6-VIII GROUP	0	856,051,816	1,309,509,519	1,363,115,990	1,416,407,937
MEG8-UHC-Uncompensated care	0	68,889,322	36,005,978	0	0
MEG9-HQII-Hospital Quality Improve Incentive	0	0	2,824,462	0	0
UC	0	0	0	0	0
Uncompensated Care "UC" Pool	0	1	31,288,995	68,889,323	68,889,323
Hospital Quality Improvement Incentive "HQII" Pool	0	0	0	7,359,077	8,825,541
Total	0	3,900,771,647	4,471,193,089	4,565,057,890	4,627,400,419

Waiver Name	A	01	02	03	04
MEG1-TANF & Related	0	1,070,403,838	1,116,192,521	1,139,902,199	1,190,905,471
MEG2- SSI Medicaid Only	0	574,937,547	619,376,448	614,391,705	606,541,717
MEG3- SSI DUAL	0	395,585,750	408,062,785	430,125,628	402,876,336
MEG4-217	0	4,617,656	3,906,915	5,353,671	8,934,265
MEG5- 217 DUAL	0	60,154,448	59,416,310	64,866,189	80,515,170
MEG6-VIII GROUP	0	856,026,186	1,309,448,166	1,362,207,556	1,347,822,624
MEG8-UHC-Uncompensated care	0	47,671,411	25,207,785	0	0
MEG9-HQII-Hospital Quality Improve Incentive	0	0	1,987,574	0	0
UC	0	0	0	0	0
Uncompensated Care "UC" Pool	0	1	21,781,306	48,608,306	49,178,612
Hospital Quality Improvement Incentive "HQII" Pool	0	0	0	5,234,511	6,368,511
Total	0	3,009,396,837	3,565,379,810	3,670,689,765	3,693,142,706

M-CHIP Waivers

Waiver Name	A	01	02	03	04
MEG7-CHIP GROUP	0	84,356,770	123,819,644	118,474,551	106,620,374
Total	0	84,356,770	123,819,644	118,474,551	106,620,374

Waiver Name	A	01	02	03	04
MEG7-CHIP GROUP	0	66,272,570	105,308,930	118,474,551	106,620,374
Total	0	66,272,570	105,308,930	118,474,551	106,620,374

ADM Waivers

Waiver Name	A	01	02	03	04
Admin	0	109,509,693	0	0	0
MEG1-TANF & Related	0	1,954,350	65,528,478	65,128,092	75,672,882
MEG2- SSI Medicaid Only	0	0	7,492,116	7,098,152	8,204,996
MEG3- SSI DUAL	0	0	6,533,901	6,432,635	7,626,009
MEG4-217	0	0	38,287	33,620	78,602
MEG5- 217 DUAL	0	0	408,067	443,667	673,384
MEG6-VIII GROUP	0	36,509,156	42,521,593	46,219,961	60,776,652
MEG7-CHIP GROUP	0	972,016	9,725,447	8,862,780	10,909,982
Total	0	148,945,215	132,247,889	134,218,907	163,942,507

Waiver Name	A	01	02	03	04
Admin	0	72,320,580	0	0	0
MEG1-TANF & Related	0	1,033,347	40,960,378	40,581,487	48,575,411
MEG2- SSI Medicaid Only	0	0	4,682,951	4,421,346	5,263,719
MEG3- SSI DUAL	0	0	4,084,108	4,007,329	4,887,719
MEG4-217	0	0	23,899	21,025	50,385
MEG5- 217 DUAL	0	0	255,098	276,989	432,086
MEG6-VIII GROUP	0	24,041,491	26,579,123	28,804,937	38,875,056
MEG7-CHIP GROUP	0	644,187	6,078,767	5,514,776	6,957,623
Total	0	98,039,605	82,664,324	83,627,889	105,041,999

Schedule C
CMS 64 Waiver Expenditure Report
Cumulative Data Ending Quarter/Year : 2/2019

Summary of Expenditures by Waiver Year
Waiver: 11W00285

Total Computable

05	06	07	08	09	10	11	12	13
1,526,907,825	1,505,186,892	0	0	0	0	0	0	0
794,639,649	807,692,756	0	0	0	0	0	0	0
558,052,509	547,571,351	0	0	0	0	0	0	0
12,068,428	11,346,544	0	0	0	0	0	0	0
134,699,129	143,055,030	0	0	0	0	0	0	0
1,459,063,915	1,534,558,754	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0
68,889,323	51,666,988	0	0	0	0	0	0	0
12,011,853	0	0	0	0	0	0	0	0
4,566,332,631	4,601,078,315	0	0	0	0	0	0	0

Federal Share

05	06	07	08	09	10	11	12	13
1,141,789,624	1,107,236,490	0	0	0	0	0	0	0
576,263,466	586,848,980	0	0	0	0	0	0	0
402,898,865	396,296,920	0	0	0	0	0	0	0
8,714,533	8,213,167	0	0	0	0	0	0	0
97,242,438	103,541,315	0	0	0	0	0	0	0
1,374,776,075	1,430,938,315	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0
0	0	0	0	0	0	0	0	0
49,727,759	37,373,885	0	0	0	0	0	0	0
8,679,765	0	0	0	0	0	0	0	0
3,660,092,525	3,670,449,072	0	0	0	0	0	0	0

Total Computable

24	25	Total	Total Less Non-Adds
0	0	9,199,945,495	9,199,945,495
0	0	5,023,262,080	5,023,262,080
0	0	3,434,356,723	3,434,356,723
0	0	55,761,198	55,761,198
0	0	654,260,378	654,260,378
0	0	7,938,707,931	7,938,707,931
0	0	104,895,300	104,895,300
0	0	2,824,462	2,824,462
0	0	0	0
0	0	289,623,953	289,623,953
0	0	28,196,471	28,196,471
0	0	26,731,833,991	26,731,833,991

24	25	Total	Total Less Non-Adds
0	0	6,766,430,143	6,766,430,143
0	0	3,578,359,863	3,578,359,863
0	0	2,435,846,284	2,435,846,284
0	0	39,740,207	39,740,207
0	0	465,735,870	465,735,870
0	0	7,681,218,922	7,681,218,922
0	0	72,879,196	72,879,196
0	0	1,987,574	1,987,574
0	0	0	0
0	0	206,669,869	206,669,869
0	0	20,282,787	20,282,787
0	0	21,269,150,715	21,269,150,715

24	25	Total	Total Less Non-Adds
0	0	633,099,113	633,099,113
0	0	633,099,113	633,099,113

24	25	Total	Total Less Non-Adds
0	0	594,423,754	594,423,754
0	0	594,423,754	594,423,754

24	25	Total	Total Less Non-Adds
0	0	309,734,152	309,734,152
0	0	288,759,514	288,759,514
0	0	31,250,560	31,250,560
0	0	28,480,241	28,480,241
0	0	223,721	223,721
0	0	2,373,050	2,373,050
0	0	245,279,923	245,279,923
0	0	39,712,533	39,712,533
0	0	945,813,694	945,813,694

24	25	Total	Total Less Non-Adds
0	0	204,742,311	204,742,311
0	0	182,005,731	182,005,731
0	0	19,711,854	19,711,854
0	0	17,965,413	17,965,413
0	0	141,596	141,596
0	0	1,501,031	1,501,031
0	0	155,749,558	155,749,558
0	0	25,036,163	25,036,163
0	0	606,853,657	606,853,657

MEMBER MONTHS**CY 2016 Quarter****CENTENNIAL CARE MEG REPORTING**

Eligibility Group	1	2	3	4
Population 1 – TANF and Related	1,130,779	1,150,300	1,169,603	1,170,974
Population 2 – SSI and Related – Medicaid Only	123,597	122,633	123,728	123,619
Population 3 – SSI and Related - Dual	110,017	111,379	113,425	112,980
Population 4 – 217-like Group – Medicaid Only	566	1064	564	793
Population 5 – 217-like Group - Dual	6,938	8,390	7,911	8,627
Population 6 – VIII Group (expansion)	753,995	761,293	778,625	784,161
Population 7 - CHIP Group	151,824	140,006	134,983	132,292

Total**2,277,716 2,295,065 2,328,839 2,333,446**

Report extracted on January 2, 2020.

CY 2017 Quarter**CY 2018 Quarter**

Total	1	2	3	4	Total	1	2	3
4,621,656	1,180,160	1,170,146	1,145,575	1,127,594	4,623,475	1,129,981	1,116,304	1,090,944
493,577	124,408	125,136	122,027	116,227	487,798	116,043	115,944	114,284
447,801	111,537	111,883	111,273	108,378	443,071	108,032	108,101	108,318
2,987	1,133	1,006	857	801	3,797	830	835	853
31,866	9,714	10,023	10,181	10,491	40,409	11,050	11,820	12,257
3,078,074	806,114	802,658	773,108	762,010	3,143,890	762,410	756,109	747,006
559,105	133,031	130,727	123,340	117,212	504,310	117,719	113,236	109,585
9,235,066	2,366,097	2,351,579	2,286,361	2,242,713	9,246,750	2,246,065	2,222,349	2,183,247

CY 2019 Quarter

4	Total	1	2	3	4	Total
1,085,709	4,422,938	1,078,873	1,077,947	1,079,314	1,074,002	4,310,136
113,559	459,830	112,788	112,434	112,231	112,445	449,898
108,264	432,715	108,143	108,047	107,766	106,331	430,287
789	3,307	754	750	766	1,007	3,277
12,311	47,438	12,167	12,417	12,787	12,900	50,271
753,639	3,019,164	759,193	766,787	768,623	776,411	3,071,014
111,810	452,350	113,954	111,641	112,462	114,864	452,921
2,186,081	8,837,742	2,185,872	2,190,023	2,193,949	2,197,960	8,767,804