

DEPARTMENT OF HEALTH & HUMAN SERVICES
Centers for Medicare & Medicaid Services
7500 Security Boulevard, Mail Stop S2-14-26
Baltimore, Maryland 21244-1850



Center for Medicaid and CHIP Services

Disabled and Elderly Health Programs Group

March 5, 2020

Nicole Comeaux, J.D., M.P.H., Director
Medical Assistance Division
P. O. Box 2348
Santa Fe, NM 87504

Dear Ms. Comeaux:

The CMS Division of Pharmacy team has reviewed New Mexico's State Plan Amendment (SPA) 19-0019 received in the Dallas Regional Operations Group on December 24, 2019. This SPA proposes to allow the state to comply with the Medicaid Drug Utilization Review (DUR) provisions included in Section 1004 of the Substance Use-Disorder Prevention that promotes Opioid Recovery and Treatment (SUPPORT) for Patients and Communities Act (P.L. 115-271).

Based on the information provided and consistent with the regulations at 42 CFR 430.20, we are pleased to inform you that SPA 19-0019 is approved with an effective date of October 1, 2019. A copy of the signed CMS-179 form, as well as the pages approved for incorporation into New Mexico's state plan will be forwarded by the Dallas Regional Operations Group.

If you have any questions regarding this amendment, please contact Charlotte Amponsah at (410) 786-1092 or charlotte.amponsah@cms.hhs.gov

Sincerely,

/s/

Cynthia R. Denemark, R.Ph.,
Deputy Director
Division of Pharmacy

cc: Billy Bob Farrell, CMS Division of Program Operations-Branch Manager
Ford Blunt, CMS Division of Program Operations –SPA Analyst-West Branch
Jennifer Vigil, Director's Office

**TRANSMITTAL AND NOTICE OF APPROVAL OF
STATE PLAN MATERIAL
FOR: CENTERS FOR MEDICARE & MEDICAID SERVICES**

1. TRANSMITTAL NUMBER
1 9 0 1 9

2. STATE
New Mexico

3. PROGRAM IDENTIFICATION: TITLE XIX OF THE SOCIAL SECURITY ACT (MEDICAID)

TO: REGIONAL ADMINISTRATOR
CENTERS FOR MEDICARE & MEDICAID SERVICES
DEPARTMENT OF HEALTH AND HUMAN SERVICES

4. PROPOSED EFFECTIVE DATE
October 1, 2019

5. TYPE OF PLAN MATERIAL (Check One)
 NEW STATE PLAN AMENDMENT TO BE CONSIDERED AS NEW PLAN AMENDMENT

COMPLETE BLOCKS 6 THRU 10 IF THIS IS AN AMENDMENT (Separate transmittal for each amendment)

6. FEDERAL STATUTE/REGULATION CITATION
42 CFR 483.60; 42 CFR 483.3; 42 CFR 438.3

7. FEDERAL BUDGET IMPACT
a. FFY 20 \$ 0
b. FFY 21 \$ 0

8. PAGE NUMBER OF THE PLAN SECTION OR ATTACHMENT
pages 74a, 74aa (new), 74b, 74bb (new)

9. PAGE NUMBER OF THE SUPERSEDED PLAN SECTION OR ATTACHMENT (If Applicable)
pages 74a, 74b (TN No. 93-07)

10. SUBJECT OF AMENDMENT
Medicaid Drug Utilization Review (DUR)

11. GOVERNOR'S REVIEW (Check One)
 GOVERNOR'S OFFICE REPORTED NO COMMENT OTHER, AS SPECIFIED
 COMMENTS OF GOVERNOR'S OFFICE ENCLOSED Authority delegated to the Medicaid Director
 NO REPLY RECEIVED WITHIN 45 DAYS OF SUBMITTAL

12. SIGNATURE OF STATE AGENCY OFFICIAL
Nicole Comeaux

13. TYPED NAME
Nicole Comeaux

14. TITLE
Director, Medical Assistance Division

15. DATE SUBMITTED
12/23/2019

16. RETURN TO
Nicole Comeaux, J.D., M.P.H., Director
Medical Assistance Division
P.O. Box 2348
Santa Fe, NM 87504-2348

FOR REGIONAL OFFICE USE ONLY

17. DATE RECEIVED
12/24/19

18. DATE APPROVED
3/5/20

PLAN APPROVED - ONE COPY ATTACHED

19. EFFECTIVE DATE OF APPROVED MATERIAL
10/1/19

20. SIGNATURE OF REGIONAL OFFICIAL

21. TYPED NAME
James G. Scott

22. TITLE
Director, Division of Program Operations

23. REMARKS

State/Territory: New MexicoCitation

- 1927 (g) (2) (A) (i) X Prospective DUR includes screening for potential drug therapy problems due to:
- Therapeutic duplication
 - Drug disease contraindications
 - Drug interactions
 - Incorrect dosage or duration
 - Drug allergy interactions
 - Clinical abuse/misuse
- 1927 (g) (2) (A) (ii) X Prospective DUR includes counseling for Medicaid recipients based on standards established by State law and maintenance of patient profiles.
- 1927 (g) (2) (B) X The DUR program includes retrospective DUR through its mechanized drug claims processing and information retrieval system or otherwise which undertakes ongoing periodic examination of claims data and other records to identify:
- Patterns of fraud and abuse
 - Gross overuse
 - Inappropriate or medically unnecessary care
- 1927 (g) (2) (C) X The DUR program assesses data on drug use against explicit predetermined standards including but not limited to monitoring for:
- Therapeutic appropriateness
 - Overutilization and underutilization
 - Appropriate use of generic products
 - Therapeutic duplication
 - Drug disease contraindications
 - Drug interactions
 - Incorrect dosage/duration
 - Clinical abuse/misuse
- 1927 (g) (2) (D) X The DUR program through its State DUR Board, using data provided by the Board, provides for active and ongoing educational outreach programs to educate practitioners on common drug therapy problems to improve prescribing and dispensing practices.

TN No. 19-0019Approval Date 3/5/2020Supersedes TN No. NM 93-07Effective Date 10/1/2019

State/Territory: New Mexico

Citation

1927 (g) (2) (D)

1902(a)(85) and Section 1004 of the Substance Use-Disorder Prevention that Promotes Opioid Recovery and Treatment for Patients and Communities Act (SUPPORT Act) Provisions

1. Claims Review Limitations: The state shall perform the following reviews and actions for opioid claim limitations:
 - Prospective Drug Utilization Review (Pro-DUR) and Retrospective Drug Utilization Review (Retro-DUR) for opioid duplicate and early fill and exceeding state defined quantity, day supply, and dosage limits. A prior authorization shall be required for an override.
 - Pro-DUR for exceeding state defined Morphine Milligram Equivalents (MME) limits. A prior authorization shall be required for an override.
 - Retro-DUR shall be performed to identify members receiving concurrent opioids and benzodiazepines and for those receiving concurrent opioids and antipsychotics. Education shall be provided to practitioners prescribing these medications.
2. Program to Monitor Antipsychotic Medication Use by Children:
The state shall manage and monitor antipsychotic medication use by children in the following manner:
 - Retro-DUR shall be performed for all children in foster care receiving antipsychotics. Providers shall be contacted and educated as necessary.
 - Pro-DUR for children younger than the state specified age receiving duplicate antipsychotics. A prior authorization shall be required for an override.
 - Retro-DUR shall be performed to identify children of all ages receiving antipsychotics. Education shall be provided to practitioners prescribing these medications.
3. Fraud and Abuse Identification: The state shall identify and respond to potential fraud and abuse using the following methods:

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Approval Date 3/5/2020

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Effective Date 10/1/2019

State/Territory: New MexicoCitation

- Potential fraud and/or abuse shall be identified via automatic claims review and referrals. Potential cases shall be reviewed by the state for possible referral to the Medicaid fraud control unit, or to the lock-in program.
 - Pro-DUR for concomitant opioid and buprenorphine-based substance use disorder treatment.
 - Pro-DUR requiring a Professional Service for confirming a prescriber consultation regarding reviewing the Prescription Drug Monitoring Program (PDMP) with ad hoc PDMP reviews corresponding to prior authorization requests.
- 1927 (g) (3) (A) X The DUR program has established a State DUR Board either:
- X Directly
— Contract with a private organization
- 1927 (g) (3) (B) X The DUR Board membership includes health professionals (one-third licensed actively practicing pharmacist and one-third but no more than 51 percent licensed and actively practicing physicians) with knowledge and experience in:
- Clinically appropriate prescribing and dispensing of covered outpatient drugs.
--Monitoring of covered outpatient drugs.
--Drug use review, evaluation and intervention.
--Medical quality assurance.
- 1927 (g) (3) (C) X The activities of the DUR Board include:
- Retrospective DUR
--Application of Standards
--Ongoing interventions for physicians and pharmacists targeted toward therapy problems or individuals identified in the course of retrospective DUR

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1927 (g) (3) (C)

--Interventions include in appropriate instances:

- Information dissemination
- Written, oral, and electronic reminders
- Face to Face discussions
- Intensified monitoring/review of providers/dispensers

1927 (g) (3) (D)

X

An annual report is submitted to the Secretary, including a report from its DUR Board, on the DUR program.

TN No. 19-0019

Approval Date 3/5/2020

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